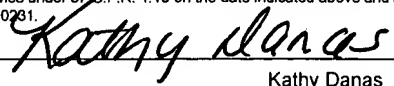





05-23-01 JC19 Rec'd T/PTO 22 MAY 2001 PCT

FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 1-98)		ATTORNEY'S DOCKET NO. 4040.000300
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.57) 09/856400 UNKNOWN
INTERNATIONAL APPLICATION NO. PCT/GB99/03952	INTERNATIONAL FILING DATE November 26, 1999	PRIORITY DATE CLAIMED November 26, 1998
TITLE OF INVENTION Anti-Asthmatic Combinations Comprising Surface Active Phospholipids		
APPLICANT(S) FOR DO/EO/US Brian Andrew Hills, Derek Alan Woodcock and John Nicholas Staniforth		
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.<input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).<input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau); PCT cover page; 30 pages of text, Figures 1-3 on 2 sheets, and 6 pages of Search Report.<input type="checkbox"/> has been transmitted by the International Bureau.<input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).<input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<ol style="list-style-type: none"><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).<input type="checkbox"/> have been transmitted by the International Bureau.<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<input type="checkbox"/> have not been made and will not be made.<input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 16 below concern document(s) or information included:		
<ol style="list-style-type: none"><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included and the fee is incorporated within the attached check.<input checked="" type="checkbox"/> A FIRST preliminary amendment (with Exhibits A, B and C); please calculate the filing fee based upon the claims in this amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.<input type="checkbox"/> A substitute specification.<input type="checkbox"/> Power of attorney and/or address letter.<input checked="" type="checkbox"/> Other items or information: 1. PCT Request; 2. International Search Report; 3. PCT Demand; 4. International Preliminary Examination Report (IPER) with annex; and 5. Postcard		

09/856400

CERTIFICATE OF EXPRESS MAILING	
NUMBER	EL 522 496 775 US
DATE OF DEPOSIT:	May 22, 2001
I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 39 U.S.C. 110 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20531.	
 Kathy Danas	

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) UNKNOW		INTERNATIONAL APPLICATION NO. PCT/GB99/03952		ATTORNEY'S DOCKET NUMBER 4040.000300	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00 international preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	38 - 20 =	18	x \$ 18.00	\$ 324.00	
Independent Claims	7 - 3 =	4	x \$ 80.00	\$ 320.00	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$ 260.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,764.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement (no longer necessary)				\$	
SUBTOTAL =				\$ 1,764.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1,764.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property)				\$ -0-	
TOTAL FEES ENCLOSED =				\$ 1,764.00	
				Amount to be refunded:	\$.00
				Charged	\$.00
a. <input checked="" type="checkbox"/> A check in the amount of \$1,764.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0786/4040.000300. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Shelley P.M. Fussey WILLIAMS, MORGAN & AMERSON 7676 Hillmont, Suite 250 Houston, TX 77040 (713) 934-7000 Date: May 22, 2001			 SIGNATURE Shelley P.M. Fussey NAME: 39,458 REGISTRATION NUMBER:		

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